



THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Masaki Ohya, et al.

Examiner: A. Rodriguez

Serial No.: 09/819,969

Art Unit: 2828

Filed: March 28, 2001

Docket: 14458

For: SELF-SUSTAINED
PULSATING LASER DIODE

Dated: July 28, 2004

Confirmation No.: 4222

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§1.97 and 1.98, it is requested that the following reference which is also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. Japanese Unexamined Patent Application No. Heisei 6-232512, published August 19, 1994;

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on July 28, 2004.

Dated: July 28, 2004


Anthony N. Fresco

07/30/2004 JBALINAN 00000099 09819969

01 FC:1806

180.00 OP

The reference was cited in an Official Action dated June 15, 2004 received from the Japanese Patent Office. Applicants are submitting a copy of the above cited reference, together with an English translation of the Examiner's comments regarding the references from the Official Action. Please note that the other three (3) references cited in the Office Action, namely, Japanese Unexamined Patent Application No. Heisei 11-87831; Japanese Unexamined Patent Application No. Heisei 10-144992; and Japanese Unexamined Patent Application No. Heisei 11-354895 were previously submitted in applicant's Information Disclosure Statements dated January 14, 2004 and March 28, 2001. The relevance of the references is described in the Official Action.

In compliance with the requirements of 37 C.F.R. §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 37 C.F.R. §1.56(c) most knowledgeable about the content of the information, the undersigned submits a translation of portions of an official action by a foreign examiner in which the references were cited. The relevance to the pending U.S. Patent application is that the references were cited in a foreign patent application on the same subject matter. However, no independent analysis of the references, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted herewith.

The undersigned attorney hereby states that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. §1.97(d), the \$180.00 fee and statement are enclosed.

Respectfully submitted,

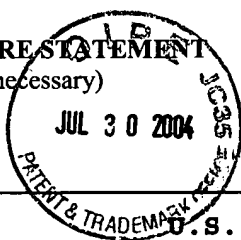
A handwritten signature in black ink, reading "Anthony N. Fresco". The signature is written in a cursive, flowing style.

Anthony N. Fresco
Registration No. 45,784

SCULLY, SCOTT, MURPHY & PRESSER
400 Garden City Plaza
Garden City, New York 11530
(516) 742-4343/4366 Fax

ANF:nis

INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	Atty. Docket No.	14458	Serial No.	09/819,969
	Applicant			
	Masaki Ohya, et al.			
	Filing Date	March 28, 2001	Group	2828



U.S. PATENT DOCUMENTS

EXAMINER'S INITIAL*	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (if appropriate)

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	1	Heisei 6-232512	08/19/94	Japan			X	
	2							
	3							
	4							

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

2828
B
93

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(d))				Docket No. 14458	
In Re Application Of: Masaki Ohya, et al.					
<div style="border: 1px solid black; border-radius: 50%; width: 100px; height: 100px; margin: 0 auto; display: flex; align-items: center; justify-content: center; text-align: center;">JUL 30 2004 PATENT & TRADEMARK OFFICE</div>					
Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
09/819,969	March 28, 2001	A. Rodriguez	23389	2828	4222
Title: SELF-SUSTAINED PULSATING LASER DIODE					
<p style="text-align: center;">Address to: Commissioner for Patents</p> <p>The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(c), and on or before payment of the issue fee, and is accompanied by the Statement as specified in 37 CFR 1.97(e) and the fee set forth in 37 CFR 1.17(p).</p> <p><input checked="" type="checkbox"/> A check in the amount of \$180.00 is attached.</p> <p><input type="checkbox"/> Charge Deposit Account No. _____ in the amount of _____</p> <div style="display: flex; justify-content: space-between;"><div style="width: 45%;"><p style="text-align: center;">Certificate of Transmission by Facsimile*</p><div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;">I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F</div><div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;">(Date)</div><div style="border: 1px solid black; padding: 5px; margin-bottom: 5px; text-align: center;">Signature</div><div style="border: 1px solid black; padding: 5px; text-align: center;">Typed or Printed Name of Person Signing Certificate</div></div><div style="width: 45%;"><p style="text-align: center;">Certificate of Mailing by First Class Mail</p><div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;">I certify that this document and fee is being deposited on July 28, 2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.</div><div style="border: 1px solid black; padding: 5px; margin-bottom: 5px; text-align: center;"> Signature of Person Mailing Correspondence</div><div style="border: 1px solid black; padding: 5px; text-align: center;">Anthony N. Fresco Typed or Printed Name of Person Mailing Certificate</div></div></div>					

Signature

Anthony N. Fresco
Registration No. 45,784
Scully, Scott, Murphy & Presser
400 Garden City Plaza
Garden City, NY 11530
(516)742-4343

ANF:nis

Dated: July 28, 2004